

## CLAIMS

1           1. A polarization-independent optical isolator/circulator comprising a two-arm  
2 interferometer including nonreciprocal phase shifters in both interferometric arms, wherein a  
3 nonreciprocal phase shifter includes a half-wave retarder with its slow-axis at  $45^\circ$ , and two sets  
4 of transverse magnetic (TM) mode nonreciprocal phase shifters including vertically asymmetric  
5 magneto-optical waveguides with a transverse magnetic field across each arm, wherein  
6 magnetizations of magneto-optical materials in said arms are opposite to each other to create  
7  $\pm 90^\circ$  nonreciprocal phase shift for the TM mode, and said two sets of TM-mode nonreciprocal  
8 phase shifters have a half-wave retarder placed between them to rotate the polarization so that  
9 both orthogonal polarizations will have  $\pm 90^\circ$  nonreciprocal phase shift, allowing the total phase  
10 difference between the two arms for forward and backward directions to be different by  $180^\circ$   
11 for both orthogonal polarizations.

1           2. The isolator/circulator of claim 1 further comprising two input ports and one output  
2 port.

1           3. The isolator/circulator of claim 1 further comprising a  $90^\circ$  reciprocal phase shift in  
2 one arm of the interferometer so that the total phase difference is  $0^\circ$  for one propagation  
3 direction and  $180^\circ$  for the other propagation direction.

1           4. The isolator/circulator of claim 3 further comprising two input ports and two output  
2 ports.

1 5. The isolator/circulator of claim 3 further comprising one input port and one output  
2 port.

1 6. The isolator/circulator of claim 1, wherein the nonreciprocal phase shifter is  
2 replaced by a Faraday rotator and half-wave retarders for both arms, where the principal axis  
3 of one retarder has 45° offset from the other, and a Faraday rotator and a half-wave retarder  
4 are placed in the same order in both arms of the interferometer.

1 7. The isolator/circulator of claim 6, wherein the magnetizations of the Faraday  
2 rotators are opposite.

1 8. The isolator/circulator of claim 6, wherein each arm has a plurality of Faraday  
2 rotator crystals or waveguide devices, each being spatially separated from the others, and the  
3 total Faraday rotation of these devices has 45° nonreciprocal polarization rotation.

1 9. The isolator/circulator of claim 8, wherein the order of Faraday rotators and half-  
2 wave retarders are different between the two interferometric arms and the directions of  
3 magnetization of the Faraday rotators are the same.

1 10. The isolator/circulator of claim 6 further comprising a waveguide structure at the  
2 Faraday rotator and/or a half-wave retarder.

1 11. The isolator/circulator of claim 6 further comprising a thermally expanded core  
2 fiber at any input or output ports.

1 12. The isolator/circulator of claim 1 further comprising vertical and/or horizontal

2     adiabatic tapers at any optical interface.

1             13. The isolator/circulator of claim 6 further comprising at least one thin-film magnet  
2     adjacent to said one or more Faraday rotators.

1             14. The isolator/circulator of claim 6, wherein at least one of said thin-film Faraday  
2     rotators and/or thin-film half-wave retarders are inserted into grooves where these films see the  
3     optical beam axis of at least one optical path.

1             15. The isolator/circulator of claim 6, wherein at least one of the said half-wave  
2     retarders comprises stress-applying films.

1             16. The isolator/circulator of claim 6 further comprising collimating lenses.

1             17. The isolator/circulator of claim 6 further comprising at least one variable phase  
2     shifter and/or at least one variable attenuator, wherein phase and power compensation in the  
3     interferometer arms can be passive or active.

1             18. The isolator/circulator of claim 6 further comprising two input ports and two output  
2     ports.

1             19. The isolator/circulator of claim 6 further comprising two input ports and one output  
2     port.

1             20. The isolator of claim 6 further comprising one input port and one output port.

1             21. A polarization independent optical isolator/circulator based on a nonreciprocal

2 phase shifter comprising:

3 a plurality of Mach-Zehnder waveguide interferometers;

4 a plurality of half-wave retarders; and

5 one or more Faraday rotators with a total rotation of  $45^\circ$ .

1 22. The isolator/circulator of claim 21, wherein one path of each interferometer  
2 includes a half-wave retarder, wherein the slow axes of the retarders are either parallel or  
3 perpendicular to each other so that, with a proper phase and/or power compensation between  
4 the two paths by either active or passive means, a light that enters through one of the input  
5 ports is split in the first interferometer into two linearly polarized components and recombined  
6 into one of the output ports in the second interferometer.

1 23. The isolator/circulator of claim 21, wherein at least one of the two interferometers  
2 is replaced by an interferometer which has a quarter-wave retarder in each path and an  
3 additional  $90^\circ$  path length difference between the two paths, and the slow axes of the two  
4 quarter-wave retarders are perpendicular to each other.

1 24. The isolator/circulator of claim 21, with one or more Faraday rotators having a  
2 total Faraday rotation of  $45^\circ$  and a half-wave retarder with the slow axis at  $22.5^\circ$  relative to  
3 one of the slow axes of the retarders of claim 23 in between said interferometers, so that the  
4 angle of said linearly polarized light will not change in one propagation direction and will be  
5 rotated by  $90^\circ$  in the other propagation direction.